1)**Household Member Characteristics**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| House-hold member ID | Name | household head | Gender | Age (at last birthday) |  | Education level | How does member contributes to household income? | How many productive working days did [ NAME ] lost due to ill health OR caring for other in a year? |
| Yes…….1  No……..0 | Male..1  Female.2 | Actual age….0-99 | None-0  Physical - 1  Seeing-2  Hearing-3  Intellectual-4 | Graudate  University  High Scholl graduate  Grades (1-10) | No - 0  Helps family business - 1  Casual labor -2  Waged employment -3  Other – 4  Student  Student |  |
|  |  | A1 | A2 | A3 | A6 |  | A7 | B1 |
| 01 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 02 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 03 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 04 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 05 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 06 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 07 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 08 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 09 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 10 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 11 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 12 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 13 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 14 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |
| 15 |  | |\_\_| | |\_\_| | |\_\_|\_\_| | |\_\_| |  | |\_\_| | |\_\_|\_\_|\_\_| |

|  |  |  |
| --- | --- | --- |
| **2** | **2. Household Expenditure**  Plea evaluate the percentage of your various household expenditures usingthe 10 stones method (last year) | **Number of stones** |
| 1 | Food expenses |  |
| 2 | Debt repayment |  |
| 3 | Health expenses |  |
| 4 | Education expenses |  |
| 5 | Livelihood expenses |  |
| 6 | Official/social expenses |  |
| 7 | Travel expenses |  |
| 8 | Others (Specify) |  |
| 9 | Savings |  |
|  | Person who has main control over expenditure Male Female |  |

|  |  |  |
| --- | --- | --- |
| 2.2 | For this household, who are the main creditors? | **Number of stone** |
| 1 | Relatives/friends |  |
| 2 | Money lender |  |
| 3 | Bank (Public/Private) |  |
| 4 | Employer/boss/broker |  |
| 5 | INGO/NGO |  |
| 6 | Others (Specify) |  |
|  | MAIN reason for loan 1-Livelihood 2-Health 3-Buy house/land 4- education 5-Official fines/prison 6- No specific reason 7- other |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **3.1** | **Sources of Household Income and Diversity** | Number of stone | regular |
| 1 | Agriculture |  |  |
| 2 | Fishing/fisheries |  |  |
| 3 | Livestock rearing |  |  |
| 4 | Fish breeding/aquaculture |  |  |
| 5 | Selling other goods through a shop or stall |  |  |
| 6 | Irregular day-wages |  |  |
| 7 | Regular part-time employment (employee) |  |  |
| 8 | Regular full-time employment (employee) |  |  |
| 9 | Remittances/contributions from family/friends |  |  |
| 10 | Other services provision/ Small technical work |  |  |
| 11 | Rental of assets |  |  |
| 12 | Donation |  |  |
| 13 | Debt interest repayments |  |  |
| 14 | pension |  |  |
| 15 | Others |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **3.2** | Did your household grow any crop in the past one year?  Yes / No  If yes, how many acres did your household grow? | seasonal crop  \_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_  Perennial crop/  \_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_ | Type of land(SA)  1 Own land  2- Rent in cash  3… Rent in kind  4-Use the land for free |
| **3.3**  **FAO added** | Did your household catch fish last year? (yes/no)  If yes, from where?   1. Sea 2. River 3. Stream 4. Lake 5. Canal/waterway 6. fishpond | Did your household grow fish last year? (yes/no)   1. If yes, where? Sea 2. River 3. Stream 4. Lake 5. Canal/waterway 6. fishpond   What is the size of fish cultivation place? | Who owns the place where you fish?   1. Community 2. Government 3. Private company 4. Personal 5. Other   Do you have to pay to fish there?   1. No payment 2. Part of catch 3. cash payment |

| **No.**  pOf | **4. Household assets** | **Quantity**  ta&twGuf |
| --- | --- | --- |
|  | **Home assets** |
| 01 | Generator |  |
| 02 | Television |  |
| 03 | Telephone/Mobile |  |
| 04 | Radio |  |
| 05 | Other(Specify) |  |
|  | **Livelihood assets (agriculture)** |
| 01 | Draught animal |  |
| 02 | Buffalo/cow |  |
| 03 | Pig |  |
| 04 | Chicken |  |
| 05 | Sheep |  |
| 06 | Goat |  |
| 07 | Duck |  |
| 08 | Horse |  |
| 09 | Quail |  |
| 10 | Hand tools |  |
| 11 | Machine |  |
| 12 | Small home business Assets |  |
| 13 | Sewing machine Loom machine |  |
| 14 | Fishing equipment |  |
| 15 | Other(Specify) |  |
|  | **Transportation Assets** |
| 01 | Bicycle |  |
| 02 | Motorcycle |  |
| 03 | Car |  |
| 04 | Trawler-G |  |
| 05 | Tricycle |  |
| 06 | Animal drawn car |  |
| 07 | Boat |  |
| 08 | Other(Specify) |  |
|  | **Other household assets** |

|  |  |  |  |
| --- | --- | --- | --- |
| **5** | Housing |  |  |
| **5.1** | What source of walling materials does your house have? | None -0  Thatch/big leaves/palm leaves/polytarp/plastic tarp -1  Bamboo/bamboo sheets -2  Raw wood -3  Brick (concrete/mud) / Finished wall -4  Other -5 |  |
| **5.2** | What source of roofing materials does your household primarily use? | None-0  Thatch/big leaves/palm leaves/ polytarp/ Bamboo/bamboo sheets/plastic tarp -1  Zinc sheet -2  Others finished roof (shingles and tiles) -3  Other - 4 |  |
|  | What source of lighting does your household primarily use? | No electric power (only kerosene, battery, candle, LED ,etc.)-0  Someone else’s private generator-1  Public electricity (or) hydro-power-2  Household’s own private generator-3 |  |
| **5.4** | Main cooking fuel | 1-wood 2- charcoal 3- gas/electricity 4- other |  |
| **5.4** | Toilet | Yes no |  |

6.

1. **Food Security**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| During the past week, how many times has  your household eaten the following foods: | >once per day | Daily | 2-3 times | Once in the week | Not at all | Don’t eat because of personal preference or religion |
| Rice |  |  |  |  |  |  |
| Beans/pulses |  |  |  |  |  |  |
| Fresh vegetables |  |  |  |  |  |  |
| Fish |  |  |  |  |  |  |
| Meat |  |  |  |  |  |  |
| Fresh fruit |  |  |  |  |  |  |
| Wheat/flour/noodles |  |  |  |  |  |  |
| Eggs |  |  |  |  |  |  |
| Poultry |  |  |  |  |  |  |
| Oils/fat |  |  |  |  |  |  |
| Sugar/honey |  |  |  |  |  |  |
| Nuts/seeds/grains |  |  |  |  |  |  |
| Tobacco/alcohol |  |  |  |  |  |  |

1. **WASH**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 7.1 | Time taken for fetching domestic/drinking water in one day | Normal season (Minute) | Dry season (Minute) | Buy water |
|  |  | Yes no |

1. **Social Participation**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | How do household members participate in following events? | **Always** | **Frequently** | **Some times** | **Never** |
| 9.1 | Village meetings |  |  |  |  |
| 9.2 | Weddings, funerals, religious festivals |  |  |  |  |
| 9.3 | Household events |  |  |  |  |

1. **Decision Making**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | To what extent does the household head participate in village planning? | **Always** | **Frequently** | **Some times** | **Never** |
| 9.1 | Influences decisions |  |  |  |  |
| 9.2 | Participates in discussions |  |  |  |  |
| 9.3 | Attends meeting |  |  |  |  |
|  | To what extent do the women in your household participate in village planning? | **Always** | **Frequently** | **Some times** | **Never** |
| 9.4 | Influences decisions |  |  |  |  |
| 9.5 | Participates in discussions |  |  |  |  |
| 9.6 | Attends meetings |  |  |  |  |

**Section 2 – poverty**

1. When identifying poor households in your village, what criteria do you use? (choose up to 3)

|  |  |
| --- | --- |
| Criteria |  |
| * 1. Insufficient income |  |
| * 1. Lack of assets |  |
| * 1. Lack of livelihood assets |  |
| * 1. Non-working dependents |  |
| * 1. High levels of debt |  |
| * 1. Landlessness |  |
| * 1. Lack of education |  |
| * 1. Widows/female headed households |  |
| * 1. Poor quality housing |  |
| * 1. Lack of own business/livelihood |  |
| 1.11 Other (specify) |  |

1. When you consider your community, what percentage do you consider are ‘poor’? (use ten seeds to estimate %) ( )
2. When considering if a community is poor or not, what criteria do you use? (choose up to 3)

|  |  |
| --- | --- |
| Criteria |  |
| * 1. poor roads/road transportation |  |
| * 1. lack of public services (school, clinic, electricity, water) |  |
| * 1. Lack of businessmen in the village (who provide employment) |  |
| * 1. Limited availability and quality of natural resources for livelihood (farmland, rivers, lakes, forest) |  |
| * 1. Limited access to natural resources for livelihood (farmland, rivers, lakes, forest) |  |
| * 1. High level of migration due to lack of work |  |
| * 1. Low level of education |  |
| * 1. Lack of social & ethical character |  |
| * 1. Poor quality of housing and buildings |  |
| * 1. Lack of livelihoods and work |  |
| * 1. Lack of long-term agriculture/fisheries/forestry |  |
| * 1. Poor health standard |  |
| * 1. High proportion of people engaged in casual labour |  |
| * 1. Lack of connection with markets |  |
| * 1. Other specify |  |

1. Do you consider your village poor compared to surrounding areas? Yes No
2. What are the reasons why people become poor? (choose up to 3)

|  |  |
| --- | --- |
| Reason |  |
| * 1. What are the reasons why people become poor? |  |
| * 1. Lack of own business |  |
| * 1. Lack of capital |  |
| * 1. Income doesn’t cover expenditure |  |
| * 1. High proportion of income is spent on debt repayments and interest |  |
| * 1. Wrong mindset |  |
| * 1. Price fluctuations in market |  |
| * 1. Lack of education |  |
| * 1. Natural disaster and climate change |  |
| * 1. Lack of long-term planning |  |
| * 1. Lack of land assets |  |
| * 1. Lack of skills for alternative livelihoods |  |
| * 1. Poor transportation & infrastructure |  |
| * 1. Lack of moral discipline and ethics |  |
| * 1. Too many non-working dependents |  |
| * 1. Market instability |  |
| 5.17 Other specify |  |
| 5.18 low production of crop/fishing due to seasonality (FAO added, not from pre-survey) |  |
| 5.19 loss of access to assets for fishing, agriculture, forest (FAO added, not from pre-survey) |  |

1. What are the consequences of financial problems & unsustainable debt burden? (choose up to 3)

|  |  |
| --- | --- |
| Consequences |  |
| * 1. legal action |  |
| * 1. fleeing village |  |
| * 1. Migrating for work to repay debts |  |
| * 1. Reduce food intake |  |
| * 1. Reduce expenditure on health/education |  |
| * 1. Difficult/dangerous or illegal work |  |
| * 1. Withdraw children from school |  |
| * 1. Loss of assets |  |
| * 1. Household conflict |  |
| 6.10 Social Exclusion in community |  |
| 6.11 no more access to credit |  |
| 6.12 Depression |  |
| 6.13 increased reliance on brokers (FAO added, not from pre-survey) |  |

1. What should be done to reduce poverty? (choose up to 3)

|  |  |
| --- | --- |
| Activity |  |
| * 1. Access to low/no interest loans |  |
| * 1. Access to practical education |  |
| * 1. Access to alternative livelihoods to replace dangerous/unsustainable livelihoods |  |
| * 1. Access to livelihood programmes for working age youth |  |
| * 1. Minimal household income policy |  |
| * 1. Support for small business initiatives |  |
| * 1. Access to/links to markets |  |
| * 1. International assistance to go straight to households/villages, rather than through institutions such as NGOs/ UN agencies |  |
| * 1. Support to community organizations |  |
| * 1. Health services |  |
| * 1. Support to vulnerable groups (e.g. older persons/persons with disabilities) |  |
| * 1. Guidance/mentoring to promote moral and ethical behavior |  |
| * 1. Stable government policies for agriculture and fisheries and other livelihoods |  |
| * 1. promote effective use of technology for sustainable use of local resources |  |
| 7.15 road & infrastructure development |  |
| 7.16 disaster risk reduction and environmental protection action |  |
| 7.17 timely and flexible agriculture and livelihood loans |  |
| 7.18 financial support for livestock, fisheries and agriculture development[[1]](#footnote-1) |  |
| 7.19 nationally owned factories (rice mills and similar processing |  |
| 7.20 assistance to households to develop long-term mindset |  |
| 7.21 other specify |  |

1. What kind of assistance can poor people get if they have difficulty?How have you ever received help for any such things? (indicate type of assistance, according to areas of need)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Problem/assistance  1. Neighbours  2.Village association  3. Government  4.NGO  5. Insurance  6.Other | Loan | Financial assistance | Training | Help in kind | Service | Other |
| Lack of food |  |  |  |  |  |  |
| Crop failure |  |  |  |  |  |  |
| Emergency health problem |  |  |  |  |  |  |
| Disability |  |  |  |  |  |  |
| Older person assistance |  |  |  |  |  |  |
| Pregnancy/childbirth |  |  |  |  |  |  |
| Children’s education fees |  |  |  |  |  |  |
| Abuse/violence |  |  |  |  |  |  |
| Other (specify) |  |  |  |  |  |  |
| Closed season in fisheries |  |  |  |  |  |  |

9. what should be done to promote a positive mindset in rural populations? (choose up to 3)

|  |  |
| --- | --- |
| Characteristics | Votes |
| 9.1 need to give people wider vision through training and awareness events |  |
| * 1. increase access to information (e.g. weather, markets) |  |
| * 1. Improve quality of education |  |
| * 1. Increase access to livelihood-related knowledge |  |
| * 1. Enable all-round development to reach village level |  |
| * 1. Ethics and morality training and ‘mentoring’ |  |
| * 1. Effective government and administration |  |
| * 1. Improved transportation links |  |
| * 1. Stable government policy in agriculture and fisheries |  |
| * 1. Promote competent leadership (even at household level) |  |
| * 1. Promote more unity and co-ordination |  |
| * 1. Other specify |  |
| * 1. Increased participation by communities in natural resource management (FAO added, not from pre-survey) |  |
| * 1. Better organization at small-scale producer/community level (FAO added, not from pre-survey) |  |

1. What should be done to better protect/sustainably use natural resources (land, waterways, forests)? Who is mainly responsible? Is this being done in your village?

|  |  |  |
| --- | --- | --- |
| What should be done to protect/sustainably use natural resources? | selection | Being done in your village |
| 9.1 replanting policies (cut down one tree, plant two) |  |  |
| 9.2 Training on laws and practice for environmental protection |  |  |
| 9.3 Formation of village level environmental protection committees |  |  |
| 9.4 Systematic and safe refuse disposal |  |  |
| * 1. Sign boards in villages |  |  |
| * 1. Promote sustainable production techniques/equipment (fisheries/forestry) |  |  |
| * 1. Planting of water-retaining/soil retaining trees |  |  |
| * 1. Stronger networking between organizations and private sector |  |  |
| * 1. Make sustainable livelihoods so people don’t have to destroy environment |  |  |
| 9.10 Active Participation of community members in decisions about natural resource management |  |  |
| * 1. Other specify |  |  |
| * 1. Ensure secure access to natural resources (land, forests, fisheries) (FAO added, not in pre-survey) |  |  |
| * 1. Central planning but household implementation |  |  |

1. This means financial support to develop aspects of these sectors [↑](#footnote-ref-1)